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CERTIFICATION ✓ AUDIT

Types of Certification Audit Preparation

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Objective

- **GFSI definition**
- **Certification Schemes**
- **10 Things**
 - **Prepare for your Audit**



FSNS C&A

Who we are

- Certification Body
 - Accredited ISO 17065, Guide 65
- Qualified Auditors
 - BRC
 - SQF
 - FSSC 22000

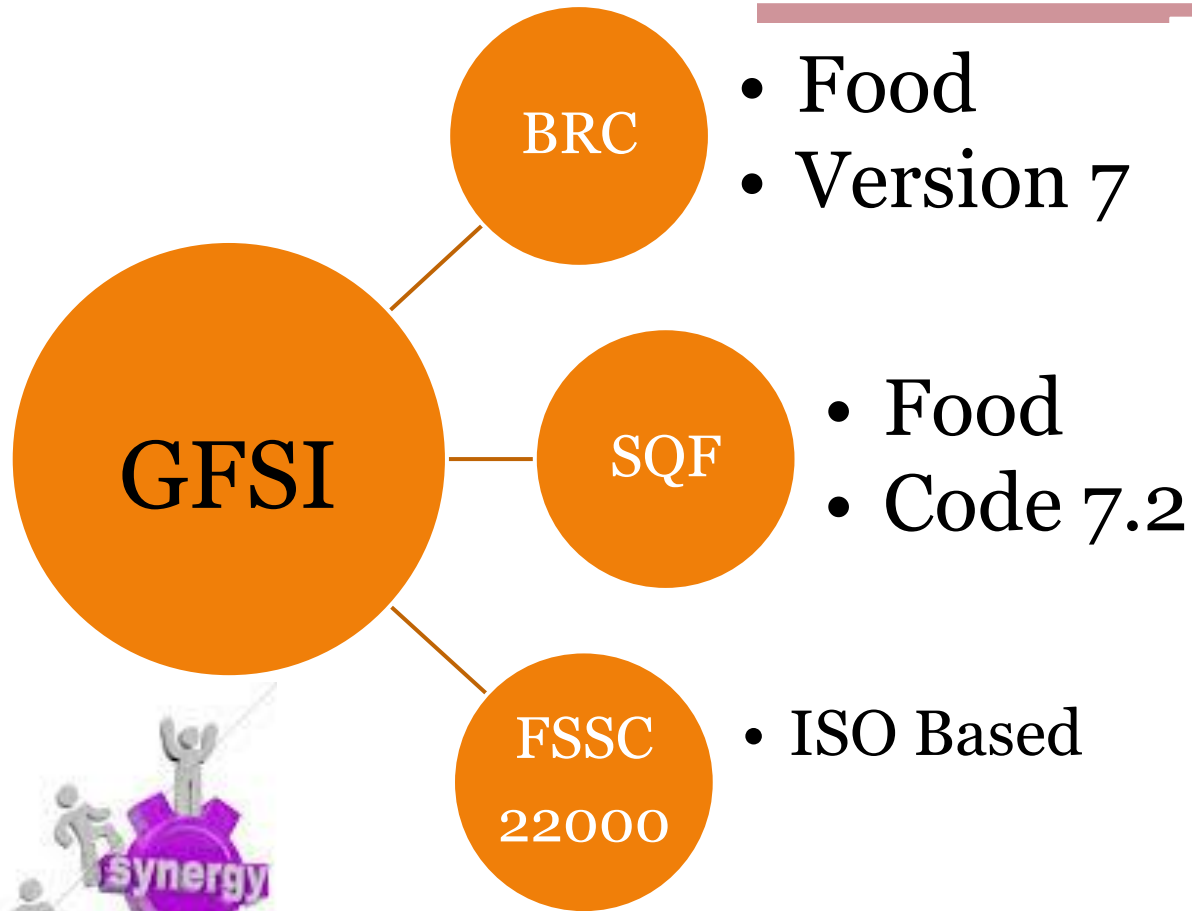


GFSI

- **Global Food Safety Initiative - GFSI**
 - **Industry Driven**
 - **Food Safety Management System**
 - **Thought leadership and guidance**
 - **How it works:**
 - **Technical Working Groups**
 - **Stakeholder meetings**
 - **Conferences**
 - **Regional events**
 - **Who develops the requirements:**
 - **Retail**
 - **Manufacturing**
 - **Food service**



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BRC

- **British Retail Consortium**
 - **Updating standard effective July 1, 2014**
 - **11 Fundamental Clauses**
 - **Solid Scheme integrity**



SQF

- **Safe Quality Food - SQF**
 - **Level 2 – Food Safety**
 - **Level 3 – Food Safety + Quality**
 - **Electronic data base requiring registration**



FSSC 22000

- **Food Safety System Certification**
 - **Food Chain Category E – ambient**
 - **Newest standard in North America**
 - **ISO Base**
 - **ISO 22000**
 - **ISO 22000**





10 Things to Prepare for Your Audit

These impact audit results...

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Do What you Say Say What you Do / Systems Based Audits



Company Culture/ Management Commitment

- Entire Team
 - Not just QA Audit
 - Management Commitment
- Cohesiveness of departments
 - Common goal and vision
 - Or work in silos
- Starts when arriving at site



Positive Attitude

- Openness vs. defensiveness
 - Auditor is not there to ‘get you’
 - Be hospitable
- Your responsibility to show compliance
 - Don't Hide Things
 - Provide items requested
 - It is your responsibility to show compliance



Confidence

- Believe in programs and processes
 - If you do not believe in them it will show
- Reflection of company culture



Effectiveness

- Training
 - Now that training is complete – is it effective?
- Programs
 - Are they sufficient
 - Ongoing issues – program may need modification
- Processes
 - Are you getting desired results?



Organization



- Be ready
- Additional resources must be available
 - Runners
 - Helpers
- You have the requirements in front of you so know what to show for answers
- Either hard copy or electronic of programs
 - Have a backup if server goes down

Internal Audit

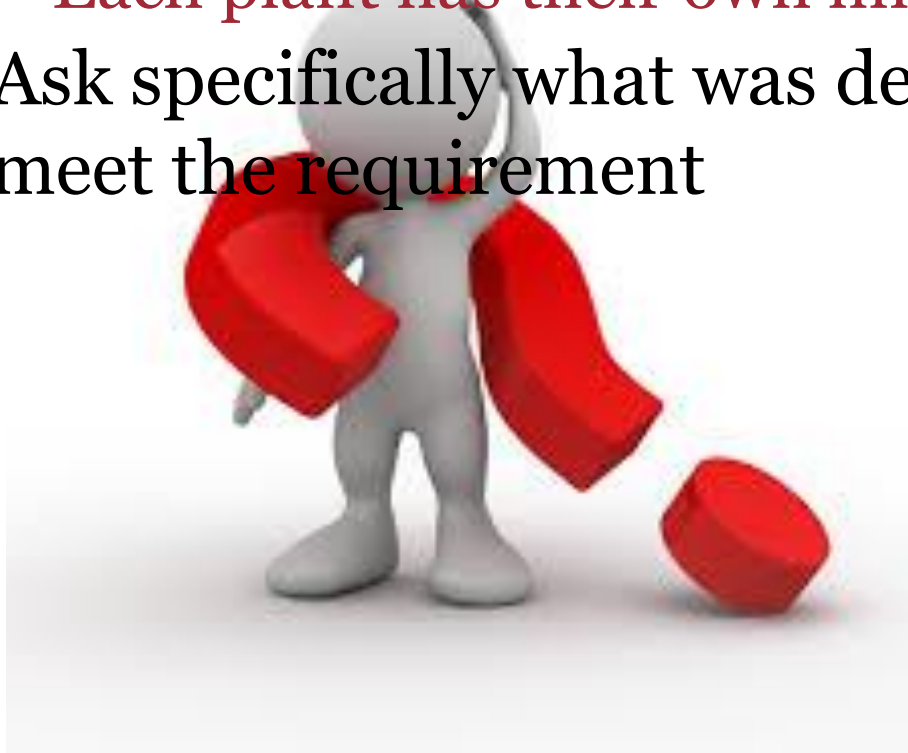


- Audit your programs and processes
 - Do not defend or justify them
 - Should be conducted by someone who does not own the program – independent
 - Find your own gaps and address them
- Audit key elements throughout the year

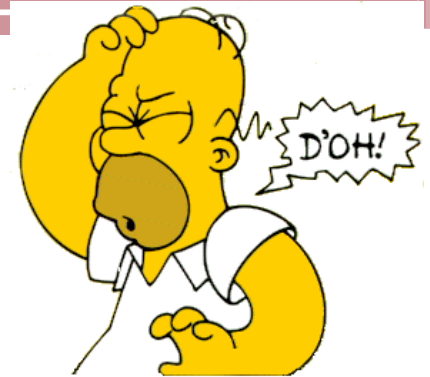


Ask Questions

- Ask for Clarification if not understood
 - Each plant has their own lingo
- Ask specifically what was demonstrated does not meet the requirement



Plant Tour



What do you do when something goes wrong?

Follow your programs!



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Last Year...

- Do not rely on previous audit results
 - Auditor misses elements
 - Processes change
 - Implementation changes
 - Growth
 - Management Changes



Questions

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